



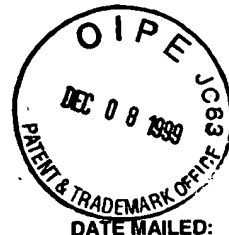
UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
--------------------	---------------------	-----------------------	---------------------------

09/400,986 09/22/99 MURAKAMI M 00177/530155

WENDEROTH LIND & PONACK LLP
2000 K STREET NW SUITE 800
WASHINGTON DC 20006

0222/1015



NOT ASSIGNED

2766

DATE MAILED:

10/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a
☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

B. DAVE MART
Customer Service Center
Initial Patent Examination Division (703) 308-1202

00000038 09400986 130.00 DP
999 MAR99 0000000000 05

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Motoyoshi MURAKAMI et al.

Serial No. 09/400,986

Filed September 22, 1999



Attn: BOX MISSING PARTS

Attorney Docket No. 00177/530155

OPTICAL DISK, METHOD FOR RECORDING :
AND REPRODUCING ADDITIONAL INFOR- :
MATION TO AND FROM OPTICAL DISK, :
REPRODUCING APPARATUS FOR OPTICAL :
DISK, AND RECORDING AND REPRODUCING :
APPARATUS FOR OPTICAL DISK

PATENT OFFICE FEE TRANSMITTAL FORM

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Attached hereto is a check in the amount of \$ 130.00 to cover Patent Office fees relating to filing the following attached papers:

Late filing of executed Declaration \$ 130.00

A duplicate copy of this paper is being submitted for use in the Accounting Division, Office of Finance.

The Commissioner is authorized to charge any deficiency or to credit any overpayment associated with this communication to Deposit Account No. 23-0975, with the EXCEPTION of deficiencies in fees for multiple dependent claims in new applications.

Respectfully submitted,

Motoyoshi MURAKAMI et al.

By

Jeffrey Molton

Registration No. 25,408

Attorney for Applicants

COPY, FINANCE DEPT. USPTO
CHARGE DEPOSIT-23
23-0975

JN/pjm
WENDEROTH, LIND & PONACK, L.L.P.
2033 K St., N.W., Suite 800
Washington, D.C. 20006
Telephone (202) 721-8200
December 8, 1999

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

[Check No. 31001]

99-1046*

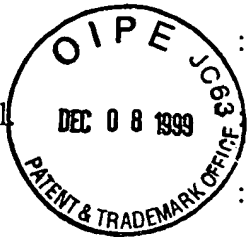
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Motoyoshi MURAKAMI et al.

Serial No. 09/400,986

Filed September 22, 1999



Attn: **BOX MISSING PARTS**

Docket No. 00177/530155

OPTICAL DISK, METHOD FOR RECORDING
AND REPRODUCING ADDITIONAL
INFORMATION TO AND FROM OPTICAL
DISK, REPRODUCING APPARATUS FOR
OPTICAL DISK, AND RECORDING AND
REPRODUCING APPARATUS FOR OPTICAL
DISK

THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEE FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975.

**COVER LETTER ACCOMPANYING APPLICATION DECLARATION FILED
AFTER APPLICATION FILING DATE**

Assistant Commissioner for Patents,
Washington, D.C.

Sir:


In response to the PTO Notice of October 15, 1999, a copy of which is enclosed, also enclosed is an application declaration for the above application executed by the inventors and identifying the above application by title and Filing Date.

Also enclosed is the PTO surcharge of \$130.00 required by 37 C.F.R. 1.16(e).

Therefore, it is submitted that the application now is complete.

Respectfully submitted,

Motoyoshi MURAKAMI et al.

By: 
Jeffrey Nolt
Registration No. 25,408
Attorney for Applicants

JN/pjm
WENDEROTH, LIND & PONACK
Washington, D.C. 20006
Telephone (202) 721-8200
Facsimile (202) 721-8250
December 8, 1999